



PEARL SECURITIES LIMITED

CUSTOMERS GRIEVANCES DETAIL Quarter ended December 30, 2017

| Sr. # | Particulars | Number |
|-------|--|--------|
| 1 | Number of customer grievances on September 30, 2017 | Nil |
| 2 | Number of customer grievances received during the quarter | Nil |
| 3 | Number of customer grievances redressed during the quarter | Nil |
| 4 | Number of customer grievances unresolved as on December 30, 2017 | Nil |
| 5 | Number of customer grievances unresolved beyond three months of the receipt | Nil |
| | <i>Reasons for delay (Complaint-wise):</i> | |
| | 1. | |
| | 2. | |
| | 3. | |
| | <i>Add more reasons, if needed</i> | |

COMPLIANCE OFFICER