Liquid Capital Statement

for the month of 30-SEP-19

of M/s. Pearl Securities Ltd.

Submission Date 15-OCT-2019 18:34:36

Page 1 Of 3

| respective securities whichever is higher. I. If unitised, 100% of carrying value. II. Subscription money against investment in IPOloffer for Sale: Amount paid as subscription money powded that shee here not been alloted or are not included in the investments of securities booker. O that are in Block, Freeze or IPology status as on reporting date. (July 18, 2017) Provided that 100% hairout shall not be applied in case of Irvestment in those securities which are Pledged in Favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing requirements or pledged in favor of Stock Exchange (Clearing House against Margin Financing Value) I. Investment in subdicities as computed by the Securities Exchange for respective securities which with the securities are considered in the place of the Stock Value against Against Value (Clearing House or central depository or 19,382,979 or any other entity. I. I. I. Investment in a subdicities as computed by the Securities Exchange for respective securities against Against Value of Irvestment (Clearing House or central depository 19,382,979 or any other entity. I. I. Wasting in deposits with which deposits with the exchanges, clearing house or central depository or 19,382,979 or any other entity. I. I. Wasting in deposits with exchange and clearing house or central depository or 19,382,979 or any other entity. I. I. I. Securities of the St | S.No. | Head of Account | Value in Pak Rupee | Hair Cut/ Adjustments | Net Adjusted Value |
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| ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts 1,872,501 0 0 0 | | i. Lower of net balance sheet value or value determined through adjustments. | | 0 | |
| iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts 1,872,501 0 0 0 1.18 | | | 0 | 0 | |
| collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 1,872,501 0 459,112,523 0 459,12,523 0 436,851,881 | | | | 0 | |
| iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts 1,872,501 0 459,112,523 0 459,112,523 0 436,851,881 436, 436, 542,099,518 436,851,881 542,099,518 6 7 8 9 1,872,501 0 1,872,501 | | | 0 | Ü | |
| iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts 1,872,501 0 459,112,523 0 436,851,881 436,851,881 542,099,518 542,099,518 0 0 0 1.18 | | | 5 | | |
| v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 436,851,881 436, 436, 436, 436, 437,099,518 542,099,518 542,099,518 542,099,518 542,099,518 542,099,518 542,099,518 542,099,518 542,099,518 542,099,518 542,099,518 542,099,518 542,099,518 542,099,518 543,881 | | iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. | 459,112,523 | 0 | 459,112,52 |
| value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts 1,872,501 0 1,872,501 | | | F10.000 = 11 | 100.051.551 | 400.054.00 |
| haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts 1,872,501 0 1,872,501 | | | 542,099,518 | 436,851,881 | 436,851,88 |
| securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 1,872,501 0 1, | | | *. | 11 | |
| vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 1,872,501 0 1,872,501 0 1,872,501 | | | | | |
| 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 1,872,501 0 1, | | | 0 | Ö | |
| | 1.18 | | R | | |
| iii Rank balance - customer accounts | | | | | |
| | | ii. Bank balance-customer accounts | 63,952,249 | 0 | |

Liquid Capital Statement

for the month of 30-SEP-19

of Ms. Pearl Securities Ltd.

Submission Date 15-OCT-2019 18:34:36

Page 2

of 3

| | | Submission page to a a a | | Page 2 | OI 3 |
|-----|---------|--|-----------------------|----------------------------|-----------------------------|
| | | Head of Account | Value in Pak Rupee | Hair Cut/ N Adjustments | et Adjusted Value |
| | | | 1,638,607,279 | 712,571,398 | 1,264,275,262 |
| | | | 1,000,001,211 | | |
|) | 1 | Assets | | | |
| | Liabi | lities | 0 | 0 | |
| | - | e Payables able to exchanges and clearing house | 0 | 0 | 62,518,941 |
| | ii Day | able against leveraged market products | 62,518,941 | | |
| | iii. Pa | vable to customers | 43,250 | 0 | 43,250 |
| | Curre | ent Liabilities | 44,850,219 | 0 | 44,850,219 1,004,966,123 |
| | i. Stat | tutory and regulatory dues cruals and other payables | 1,004,966,123 | 0 | 1,004,300,120 |
| | | | 0 | 0 | |
| | | t antion of subordinated today | 0 | 0 | |
| | V CI | ment portion of long term habitates | 0 | 0 | |
| | 0 | eferred Liabilities rovision for bad debts | 0 | 0 | |
| | viii. F | Provision for taxation | U | | |
| | ix. O | Provision for taxation ther liabilities as per accounting principles and included in the financial statements | 780,000,000 | 780,000,000 | |
| .3 | NION | Current Liabilities | 0 | 0 | is to |
| - | . Lo | ong-Term financing ong-Term financing obtained from financial instituion: Long term portion of financing obtained from | | 0 | |
| | - Fir | concial institution including amount and a | 0 207 390 | 0 | 23,297,28 |
| | | | 23,297,289 | 0 | |
| | ii. St | other long-term financing aff retirement benefits Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in | | * | |
| | iii. A | Advance against shares for inclease in Capital of Section 2015 and Section | | | |
| | resp | sect of advance against shares if: bect of advance against shares agrital allows the proposed enhanced share capital he existing authorized share capital allows the increase in capital | | | |
| | | | | | |
| | C. F | Relevant Regulatory approvals have a spained advance and all regulatory requirements | | | |
| | d. T | there is no unleasonable delay in sale have been completed. | 0 | 0 | |
| | reia | ating to the increase in paid up capital have been completed. Auditor is satisfied that such advance is against the increase of capital. Other liabilities as per accounting principles and included in the financial statements | | | |
| | in a | Othor liabilities as Del decounting princip | | 0 | |
| 2.4 | 0 | t and to be deducted. | | | |
| | | | | | |
| | ine | e Schedule III provides that 100% haircut will be allowed against specified: onditions specified by SECP. In this regard, following conditions are specified: and the executed on stamp paper and must clearly reflect the amount to be repaid. | | | |
| | | | | | |
| | af | ter 12 months of reporting period No haircut will be allowed against short term portion which is repayable within next 12 months. No haircut will be allowed against short term portion which is repayable within next 12 months. | | | |
| | b. | ter 12 months of repoliting period. No haircut will be allowed against short term portion which is repayable within flext 12 months. No haircut will be allowed against short term portion which is repayable within flext 12 months. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid. In case of early repay he submitted to exchange. | | 0 | 0 |
| | C. | In case of early repayment of both, adjusted to exchange. apital statement must be subjected to exchange specified by SECP | | | 0 1,135,675, |
| | ii. | apital statement must be submitted to exchange. Subordinated loans which do not fulfill the conditions specified by SECP | 1,915,675,82 | 780,000,00 | 0 1,135,675, |
| | 1 | | THE WALL FOR M. | | |
| 2. | 5 To | otal Liabilites anking Liabilities Relating to : | | | 21,652 |
| 3 | R | anking Liapinites Relating to | 21,652,9 | 28 21,652,92 | 21,002 |
| 3. | 1 C | Concentration in Margin Financing Concentration in Margin Financing the amount calculated client-to- client basis by which any amount receivable from any of the amount calculated client-to- client basis by which any amount receivable from total financees. | * | | |
| | | | | 0 | 0 |
| 3 | 0 | a contration in securities lending and bonds. | | 0 | |
| | | | | ja | |
| | | | | | |
| | . (| i) Amount deposited by the believed as margins exceed the 110% of the market value of shares (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares | | | |
| | | | | 0 | 0 |
| 3 | 3.3 | Net underwriting Commitments (a) in the case of right issuse: if the market value of securites is less than or equal to the subscription | | | |
| | | (a) in the case of right issuse: if the market value of securities is 1888. | | | |
| | | price; the aggregate or: | | 8 | |
| | - | price; the agglegate on. (ii) the 50% of Haircut multiplied by the underwriting commitments and (ii) the 50% of Haircut multiplied by the underwriting commitments exceeds the market price of the securities. (ii) the value by which the underwriting commitments exceeds the market price of securities is greater than the subscription price, 5% in the case of rights issues where the market price of securities is greater than the subscription price, 5%. | of | | |
| | | In the case of rights issuse where the manual triangle | | 0 | 0 |
| | | In the case of lights issue which are the Haircut multiplied by the net underwriting (b) in any other case: 12.5% of the net underwriting commitments | | | |
| | 2.4 | (b) in any other case. 12.3% of the subsidiary | 0) | 0 | 0 |
| | 3.4 | Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary The amount by which the total assets of the subsidiary | , a, | | |
| | | d the total liabilities of the subsidiary | | 0 | 0 |
| - | 3.5 | Farsign exchange agreements and foreign currently programs the difference of total | al | U | |
| 1 | chest I | 5% of the net position in foreign currency. Net position in foreign currency means the difference assets denominated in foreign currency less total liabilities denominated in foreign currency | | 0 | 0 |
| | | denominated in foreign Cuurericy less total liabilities 2 | | | |
| | | Amount Payable under REPO | 1 | | |

Liquid Capital Statement

for the month of 30-SEP-19

of Ms. Pearl Securities Ltd.

Submission Date 15-OCT-2019 18:34:36

Of 3

| S.No. | Head of Account | Value in Pak Rupee | Hair Cut/ Adjustments | Net Adjusted Value |
|-------|--|-----------------------|--------------------------|-----------------------|
| | In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. | 0 | 0 | |
| 3.8 | Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security. | 7,588,647 | 7,588,647 | 7,588,647 |
| 3.9 | Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral pledged with securities | 0 | C | |
| | exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met | 0 | | |
| 3.10 | Short selli positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of | C | | |
| | ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral | C | | |
| 2 11 | after applying haircuts. | 29,241,575 | | |
| 3.11 | Total Ranking Liabilites Liquid Capital | -306,310,118 | -96,670,177 | 99,357,86 |